



**Performance Agreement  
2013 - 2014**

**BOY DHLUWAYO  
EXECUTIVE DIRECTOR: INFRASTRUCTURE SERVICES**

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MJP

*[Signature]*

*Rm*  
*[Signature]*

PERFORMANCE AGREEMENT

MADE AND ENTERED INTO BY AND BETWEEN:

THE EXECUTIVE AUTHORITY OF  
THE SOL PLAATJIE MUNICIPALITY

AS REPRESENTED BY THE MUNICIPAL MANAGER

MR GH AKHARWARAY

(herein and after referred as Employer)

AND

DIRECTOR: INFRASTRUCTURE SERVICES

MR BOY DHLUWAYO

(herein and after referred as Employee)

FOR THE

FINANCIAL YEAR: 1 JULY 2013 - 30 JUNE 2014

*GH Akharwaray*  
MJE  
*Boy Dhlwayo*  
Rm  
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**1. INTRODUCTION**

- 1.1 The Employer has entered into a contract of employment with the Employee in terms of section 57(1)(a) of the Local Government: Municipal Systems Act 32 of 2000 ("the Systems Act"). The Employer and the Employee are hereinafter referred as "the Parties";
- 1.2 Section 57(1)(b) of the Systems Act, read with the Contract of Employment concluded between the parties, requires the Parties to conclude an annual performance agreement;
- 1.3 The Parties wish to ensure that they are clear about the goals to be achieved, and secure the commitment of the Employee to a set of outcomes that will promote local government goals;
- 1.4 The Parties wish to ensure that there is compliance with Sections 57(4B) and 57(5) of the Systems Act;
- 1.5 In this Agreement the followings terms will have the meaning ascribed thereto:
  - 1.5.1 "this Agreement" – means the performance agreement between the Employer and the employee and the Annexures thereto;
  - 1.5.2 "the Executive Authority" – means the Mayoral Committee of the Municipality constituted in terms of Section 55 of the Local Government: Municipal Structures Act 117 of 1998 ("the Structures Act") as represented by its chairperson, the Executive Mayor;
  - 1.5.3 "the Employee" means the Director appointed in terms of Section 82 of the Structures Act;
  - 1.5.4 "the Employer" means Sol Plaatje Municipality; and
  - 1.5.5 "the Parties" means the Employer and Employee.

**2. PURPOSE OF THIS AGREEMENT**

- 2.1 To comply with the provisions of Section 57(1)(b),(4B) and (5) of the Systems Act as well as the Contract of Employment entered into between the Parties;
- 2.2 To specify objectives and targets established for the Employee and to communicate to the Employee the Employer's expectations of the Employee's performance targets and accountabilities;
- 2.3 To specify accountabilities as set out in the Performance Plan (Annexure A);

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- 2.4 To monitor and measure performance against set targeted outputs and outcomes;
- 2.5 To establish a transparent and accountable working relationship;
- 2.6 To appropriately reward the employee in accordance with section 11 of this agreement; and
- 2.7 To give effect to the Employer's commitment to a performance-orientated relationship with the Employee in attaining improved service delivery.

### 3. COMMENCEMENT AND DURATION

- 3.1 This Agreement will commence on 01 July 2013 and will remain in force until 30 June 2014 where-after a new Performance Agreement shall be concluded between the parties for the next financial year or any portion thereof;
- 3.2 The Parties will conclude a new Performance Agreement that replaces this Agreement at least once a year by not later than 31<sup>st</sup> of July of the succeeding financial year;
- 3.3 This Agreement will terminate on the termination of the Employee's contract of employment for any reason; and
- 3.4 The content of this Agreement may be revised at any time during the abovementioned period to determine the current applicability of the matters previously agreed upon.

### 4. PERFORMANCE OBJECTIVES

- 4.1 The Performance Plan (Annexure A) sets out –
  - 4.1.1 The performance objectives and targets that must be met by the Employee;
  - 4.1.2 The time frames within which those performance objectives and targets must be met; and
  - 4.1.3 The core competency requirements (Annexure B – definitions) as the management skills regarded as critical to the position held by the Employee.
- 4.2 The performance objectives and targets reflected in Annexure A are set by the Employer in consultation with the Employee and based on the Integrated Development Plan, Service Delivery and Budget

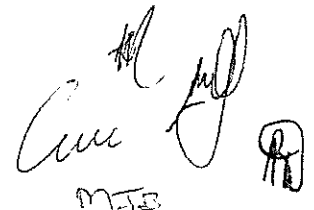
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Implementation Plan (SDBIP) and the Budget of the Employer, and shall include:

- 4.2.1 Key objectives that describe the main tasks that need to be done;
  - 4.2.2 Key performance indicators that provide the details of the evidence that must be provided to show that a key objective has been achieved;
  - 4.2.3 Target dates that describe the timeframe in which the targets must be achieved; and
  - 4.2.4 Weightings showing the relative importance of the key objectives to each other.
- 4.3 The Personal Development Plan (Annexure C) sets out the Employee's personal development requirements in line with the objectives and targets of the Employer; and
- 4.4 The Employee's performance will, in addition, be measured in terms of contributions to the goals and strategies set out in the Employer's Integrated Development Plan.

## 5. PERFORMANCE MANAGEMENT SYSTEM

- 5.1 The Employee agrees to participate in the performance management system that the Employer adopted for the employees of the Employer;
- 5.2 The Employee accepts that the purpose of the performance management system will be to provide a comprehensive system with specific performance standards to assist the employees and service providers to perform to the standards required;
- 5.3 The Employer will consult the Employee about the specific performance standards and targets that will be included in the performance management system applicable to the Employee;
- 5.4 The Employee undertakes to actively focus on the promotion and implementation of the Key Performance Areas (including special projects relevant to the employee's responsibilities) within the local government framework;
- 5.5 The criteria upon which the performance of the Employee shall be assessed shall consist of two components, Operational Performance and Core Competency Requirements (CCR's), both of which shall be contained in the Performance Agreement;



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- 5.6 The Employee's assessment will be based on his performance in terms of the outputs/outcomes (performance indicators) identified as per attached Performance Plan, which are linked to the KPAs, and will constitute 80% of the overall assessment result as per the weightings agreed to between the Employer and Employee:

KPA No	Key Performance Area
1	Service Delivery and Infrastructure
2	Municipal Transformation and Institutional Development
3	Local Economic Development
4	Municipal Financial Viability and Management
5	Good Governance, Public Participation Accountability and Transparency
<b>TOTAL 80%</b>	

- 5.7 The CCR's will make up the other 20% of the Employee's assessment score. CCR's that are deemed to be most critical for the Employee's specific job are reflected in the list below as agreed to between the Employer and Employee:

CCR No	Core Competency Requirement
1	Strategic Leadership and Management
2	Programme and Project Management
3	<b>Financial Management (Compulsory)</b>
4	Change Management
5	Knowledge Management
6	Problem Solving and Analytical Thinking
7	<b>People and Diversity Management (Compulsory)</b>
8	<b>Client Orientation and Customer Focus (Compulsory)</b>
9	Service Delivery Innovation
10	Communication
11	Accountability and Ethical Conduct
<b>TOTAL 20%</b>	

## 6. PERFORMANCE ASSESSMENT

- 6.1 The Performance Plan (Annexure A) to this Agreement sets out –
- 6.1.1 The standards and procedures for evaluating the Employee's performance; and
- 6.1.2 The intervals for the evaluation of the Employee's performance.

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- 6.2 Despite the establishment of agreed intervals for evaluation, the Employer may in addition review the Employee's performance at any stage while the contract of employment remains in force;
- 6.3 Personal growth and development needs identified during any performance review discussion must be documented in a Personal Development Plan as well as the actions agreed to and implementation must take place within set time frames;
- 6.4 The Employee's performance will be measured in terms of contributions to the goals and strategies set out in the Employer's Integrated Development Plan (IDP) as described in 6.6 – 6.12 below;
- 6.5 The Employee will submit quarterly performance reports (SDBIP) and a comprehensive annual performance report at least one week prior to the performance assessment meetings to the Evaluation Panel Chairperson for distribution to the panel members for preparation purposes;
- 6.6 Assessment of the achievement of results as outlined in the performance plan:
  - 6.6.1 Each KPI or group of KPIs shall be assessed according to the extent to which the specified standards or performance targets have been met and with due regard to ad-hoc tasks that had to be performed under the KPI;
  - 6.6.2 A rating on the five-point scale shall be provided for each KPI or group of KPIs which will then be multiplied by the weighting to calculate the final score;
  - 6.6.3 The Employee will submit his self-evaluation to the Employer prior to the formal assessment;
  - 6.6.4 In the instance where the employee could not perform due to reasons outside the control of the employer and employee, the KPI will not be considered during the evaluation. The employee should provide sufficient evidence in such instances; and
  - 6.6.5 An overall score will be calculated based on the total of the individual scores calculated above.
- 6.7 Assessment of the CCRs:
  - 6.7.1 Each CCR shall be assessed according to the extent to which the specified standards for the required proficiency level have been met;
  - 6.7.2 A rating on the five-point scale shall be provided for each CCR which will then be multiplied by the weighting to calculate the final score;

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*PM*  
*RW*

- 6.7.3 Each CCRs will be assessed in terms of the definitions provided (Annexure B) on a 360 degree basis during the mid-year and year-end reviews and will inform the final score awarded by the evaluation committee. 360 degree means that the employee's peers and managers reporting to him will assess his CCRs; and
- 6.7.4 An overall score will be calculated based on the total of the individual scores calculated above.
- 6.8 Overall rating
- 6.8.1 An overall rating is calculated by adding the overall scores as calculated in 6.6.5 and 6.7.4 above; and
- 6.8.2 Such overall rating represents the outcome of the performance appraisal.
- 6.8.3 The assessment of the performance of the Employee will be based on the following rating scale for KPIs and CCRs:

Level	Terminology	Description
5	<b>Outstanding performance</b>	Performance far exceeds the standard expected of an employee at this level. The appraisal indicates that the Employee has achieved above fully effective results against all performance criteria and indicators as specified in the PA and Performance plan and maintained this in all areas of responsibility throughout the year.
4	<b>Performance significantly above expectations</b>	Performance is significantly higher than the standard expected in the job. The appraisal indicates that the Employee has achieved above fully effective results against more than half of the performance criteria and indicators and fully achieved all others throughout the year.
3	<b>Fully effective</b>	Performance fully meets the standards expected in all areas of the job. The appraisal indicates that the Employee has fully achieved effective results against all significant performance criteria and indicators as specified in the PA and Performance Plan.
2	<b>Not fully effective</b>	Performance is below the standard required for the job in key areas. Performance meets some of the standards expected for the job. The review/assessment indicates that the employee has achieved below fully effective results against more than half the key performance criteria and indicators as specified in the PA and Performance Plan.
1	<b>Unacceptable performance</b>	Performance does not meet the standard expected for the job. The review/assessment indicates that they employee has achieved below fully effective results against almost all of the performance criteria and indicators as specified in the PA and Performance Plan. The employee has failed to demonstrate the commitment or ability to bring performance up to the level expected in the job despite management efforts to encourage improvement.

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- 6.9 For purposes of evaluating the performance of the Employee for the mid-year and year-end reviews, an evaluation panel constituted of the following persons will be established –
- 6.9.1 Municipal Manager;
  - 6.9.2 Municipal Manager from another municipality;
  - 6.9.3 Chairperson of the Performance Audit Committee or in his/her absence thereof, the Chairperson of the Audit Committee; and
  - 6.9.4 The Member of the Mayoral Committee (Portfolio Chairperson).
- 6.10 The Municipal Manager will evaluate the performance of the Employee as at the end of the 1<sup>st</sup> and 3<sup>rd</sup> quarters; and
- 6.11 The Municipal Manager will give performance feedback to the Employee within five (5) working days after each quarterly and annual assessment meetings.

**7. SCHEDULE FOR PERFORMANCE REVIEWS**

- 7.1 The performance of the Employee in relation to his performance agreement shall be reviewed on the following dates with the understanding that the reviews in the first and third quarter may be verbal if performance is satisfactory:

Quarter	Review Period	Review to be completed by
1	July - September	October 2013
2	October – December	February 2014
3	January – March	April 2014
4	April - June	September 2014

- 7.2 The Employer shall keep a record of the mid-year and year-end assessment meetings;
- 7.3 Performance feedback shall be based on the Employer's assessment of the Employee's performance;
- 7.4 The Employer will be entitled to review and make reasonable changes to the provisions of Annexure A from time to time for operational reasons. The Employee will be fully consulted before any such change is made; and

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- 7.5 The Employer may amend the provisions of Annexure A whenever the performance management system is adopted, implemented and/or amended as the case may be. In that case, the Employee will be fully consulted before any such change is made.

## 8. DEVELOPMENTAL REQUIREMENTS

The Personal Development Plan (PDP) for addressing developmental gaps is attached as Annexure C. Such Plan may be implemented and/or amended as the case may be after the each assessment. In that case, the Employee will be fully consulted before any such change or plan is made.

## 9. OBLIGATIONS OF THE EMPLOYER

- 9.1 The Employer shall-
- 9.1.1 Create an enabling environment to facilitate effective performance by the employee;
  - 9.1.2 Provide access to skills development and capacity building opportunities;
  - 9.1.3 Work collaboratively with the Employee to solve problems and generate solutions to common problems that may impact on the performance of the Employee;
  - 9.1.4 On the request of the Employee delegate such powers reasonably required by the Employee to enable him to meet the performance objectives and targets established in terms of this Agreement; and
  - 9.1.5 Make available to the Employee such resources as the Employee may reasonably require from time to time assisting him to meet the performance objectives and targets established in terms of this Agreement.

## 10. CONSULTATION

- 10.1 The Employer agrees to consult the Employee timeously where the exercising of its powers will have amongst others-
- 10.1.1 A direct effect on the performance of any of the Employee's functions;
  - 10.1.2 Commit the Employee to implement or to give effect to a decision made by the Employer; and
  - 10.1.3 A substantial financial effect on the Employer.

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10.2 The Employer agrees to inform the Employee of the outcome of any decisions taken pursuant to the exercise of powers contemplated in clause 12.1 as soon as is practicable to enable the Employee to take any necessary action with delay.

**11. REWARD**

11.1 The evaluation of the Employee's performance will form the basis for rewarding outstanding performance or correcting unacceptable performance;

11.2 The payment of the performance bonus is determined by the performance score obtained during the 4<sup>th</sup> quarter and as informed by the quarterly performance assessments;

11.3 The performance bonus will be awarded based on the following scheme:

Performance Rating		Bonus Calculation:
0% - 45%	Poor performance	0% of Total package
46% - 55%	Average Performance	5% of Total Package
56% - 65%	Fair Performance	8% of Total Package
66% - 75%	Good Performance	11% of Total Package
76% - 100%	Excellent Performance	14% of Total Package

11.4 In the event of the Employee terminating his services during the validity period of this Agreement, the Employee's performance will be evaluated for the portion during which he was employed and he will be entitled to a pro-rata performance bonus based on his evaluated performance for the period of actual service; and

11.5 The Employer will submit the total score of the annual assessment and of the Employee, to full Council for purposes of recommending the bonus allocation.

**12. MANAGEMENT OF EVALUATION OUTCOMES**

12.1 Where the Employer is, any time during the Employee's employment, not satisfied with the Employee's performance with respect to any matter dealt with in this Agreement, the Employer will give notice to the Employee to attend a meeting;

12.2 The Employee will have the opportunity at the meeting to satisfy the Employer of the measures being taken to ensure that his performance becomes satisfactory and any programme, including any dates, for implementing these measures;

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- 12.3 Where there is a dispute or difference as to the performance of the Employee under this Agreement, the Parties will confer with a view to resolving the dispute or difference; and
- 12.4 In the case of unacceptable performance, the Employer shall –
  - 12.4.1 Provide systematic remedial or developmental support to assist the Employee to improve his performance; and
  - 12.4.2 After appropriate performance counselling and having provided the necessary guidance and/or support as well as reasonable time for improvement in performance, the Employer may consider steps to terminate the contract of employment of the Employee on grounds of unfitness or incapacity to carry out his or her duties.

### 13. DISPUTE RESOLUTION

- 13.1 In the event that the Employee is dissatisfied with any decision or action of the Employer in terms of this Agreement, or where a dispute or difference arises as to the extent to which the Employee has achieved the performance objectives and targets established in terms of this Agreement, the Employee may within 3 (three) business days, meet with the Employer with a view to resolving the issue. The Employer will record the outcome of the meeting in writing;
- 13.2 If the Parties cannot resolve the issues within 10 (ten) business days, an independent arbitrator, acceptable to both parties, shall be appointed to resolve the matter within 30 (thirty) business days; and
- 13.3 In the event that the mediation process contemplated above fails, the relevant clause of the Contract of Employment shall apply.

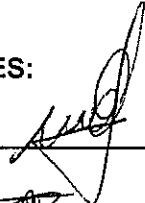

### 14. GENERAL

- 14.1 The contents of this agreement and the outcome of any review conducted in terms of Annexure A may be made available to the public by the Employer; and
- 14.2 Nothing in this agreement diminishes the obligations, duties or accountabilities of the Employee in terms of his contract of employment, or the effects of existing or new regulations, circulars, policies, directives or other instruments.

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Thus done and signed at KIMBERLEY on the 31<sup>st</sup> day July of 2013.



AS WITNESSES:

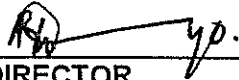
1. 
2. 

  
MUNICIPAL MANAGER

Thus done and signed at KIMBERLEY on the 31<sup>st</sup> day July of 2013.

AS WITNESSES:

1. 
2. 

  
DIRECTOR

## Performance Plan

Director: Infrastructure Services

*[Signature]*

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M. J. Jones

**The Performance Plan sets out:**

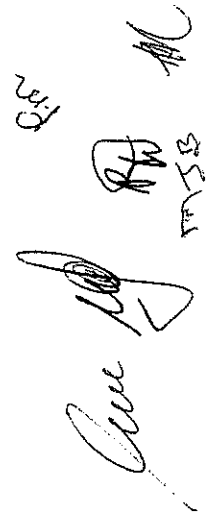
- a) Key Performance Areas that the employee should focus on, performance objectives, key performance indicators and targets that must be met within a specific timeframe;
- b) Core competencies required from employees prescribed in the Performance Regulations for Municipal Managers and Managers directly accountable to Municipal Managers, R805 of 2006.

The employee's assessment will be based on his/her performance in terms of the outputs/outcomes (performance indicators) identified as per the performance plan which are linked to the National KPA's, which constitute 80% of the overall assessment result as per the weightings agreed to between the employer and employee.

KPA's covering the main areas of work will account for 80% and CCRs will account for 20% of the final assessment.

The assessment of the performance of the Employee will be based on the following rating scale for KPA's and CCRs:

Category	Colour	Explanation
KPI's Not Met/ Unacceptable performance	<b>1</b>	Performance does not meet the standard expected for the job. The review/assessment indicates that they employee has achieved below fully effective results against almost all of the performance criteria and indicators as specified in the PA and Performance Plan. The employee has failed to demonstrate the commitment or ability to bring performance up to the level expected in the job despite management efforts to encourage improvement.
KPI's Almost Met / Not fully effective	<b>2</b>	Performance is below the standard required for the job in key areas. Performance meets some of the standards expected for the job. The review/assessment indicates that the employee has achieved below fully effective results against more than half the key performance criteria and indicators as specified in the PA and Performance Plan.
KPI's Met / Fully effective	<b>3</b>	Performance fully meets the standards expected in all areas of the job. The appraisal indicates that the Employee has fully achieved effective results against all significant performance criteria and indicators as specified in the PA and Performance Plan.
KPI's Well Met / Performance significantly above expectations	<b>4</b>	Performance is significantly higher than the standard expected in the job. The appraisal indicates that the Employee has achieved above fully effective results against more than half of the performance criteria and indicators and fully achieved all others throughout the year.
KPI's Extremely Well Met / Outstanding Performance	<b>5</b>	Performance far exceeds the standard expected of an employee at this level. The appraisal indicates that the Employee has achieved above fully effective results against all performance criteria and indicators as specified in the PA and Performance plan and maintained this in all areas of responsibility throughout the year.


  
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**The Performance Plan sets out:**

- a) Key Performance Areas that the employee should focus on, performance objectives, key performance indicators and targets that must be met within a specific timeframe;
- b) Core competencies required from employees prescribed in the Performance Regulations for Municipal Managers and Managers directly accountable to Municipal Managers, R805 of 2006.

The employee's assessment will be based on his/her performance in terms of the outputs/outcomes (performance indicators) identified as per the performance plan which are linked to the National KPA's, which constitute 80% of the overall assessment result as per the weightings agreed to between the employer and employee.

KPA's covering the main areas of work will account for 80% and CCRs will account for 20% of the final assessment.





Key Performance Areas (KPA's)		Weighting	Core Competency Requirements (CCR's)		Weighting
Municipal Transformation & Institutional Development		10	Strategic Leadership and Management		3
Basic Service Delivery		56	Programme and Project Management		3
Local Economic Development		0	Financial Management		3
Municipal Financial Viability & Management		10	Change Management		1
Good Governance & Public Participation		4	Knowledge Management		1
			Problem Solving and Analytical Thinking		2
			People and Diversity Management		2
			Client Orientation and Customer Focus		2
			Service Delivery Innovation		1
			Communication		1
			Accountability and Ethical Conduct		1
<b>Total</b>		<b>80%</b>	<b>Total</b>		<b>20%</b>

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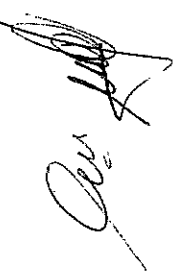


## KEY PERFORMANCE INDICATORS

Ref No	National KPA	Strategic Objectives	Key Performance Indicator (KPI)	Unit of Measurement	Baseline	Portfolio of evidence				Weight	
						Q1	Q2	Q3	Q4		
				Operational Performance of Department (Departmental SDBIP)							
	Basic Service Delivery	To ensure sustainable delivery in respect of water and sanitation, electricity, solid waste management and roads and stormwater services to all residents of SPM	Effective Management and supervision of the SDBIP on the KPIs of Sub-Directorate: Water & Sanitation	90% of the KPI's of the sub directorate have been met as per Ignite Dashboard report	New KPI	Updated SDBIP and report	90%	90%	90%	90%	2
	Basic Service Delivery	To ensure sustainable delivery in respect of water and sanitation, electricity, solid waste management and roads and stormwater services to all residents of SPM	Effective Management and supervision of the SDBIP on the KPIs of Sub-Directorate: Roads & Stormwater	90% of the KPI's of the sub directorate have been met as per Ignite Dashboard report	New KPI	Updated SDBIP and report	90%	90%	90%	90%	2
	Basic Service Delivery	To ensure sustainable delivery in respect of water and sanitation, electricity, solid waste management and roads and stormwater services to all residents of SPM	Effective Management and supervision of the SDBIP on the KPIs of Sub-Directorate: Housing	90% of the KPI's of the sub directorate have been met as per Ignite Dashboard report	New KPI	Updated SDBIP and report	90%	90%	90%	90%	2
	Basic Service Delivery	To ensure sustainable delivery in respect of water and sanitation, electricity, solid waste management and roads and stormwater services to all residents of SPM	Effective Management and supervision of the SDBIP on the KPIs of Sub-Directorate: Fleet Management	90% of the KPI's of the sub directorate have been met as per Ignite Dashboard report	New KPI	Updated SDBIP and report	90%	90%	90%	90%	2
	Basic Service Delivery	To ensure sustainable delivery in respect of water and sanitation, electricity, solid waste management and roads and stormwater services to all residents of SPM	Effective Management and supervision of the SDBIP on the KPIs of Sub-Directorate: Motor & Mechanical Workshop	90% of the KPI's of the sub directorate have been met as per Ignite Dashboard report	New KPI	Updated SDBIP and report	90%	90%	90%	90%	2









Annexure A 2013/14

Ref No	National KPA	Strategic Objectives	Key Performance Indicator (KPI)	Unit of Measurement	Baseline	Portfolio of evidence				Weight	
						Q1	Q2	Q3	Q4		
	Basic Service Delivery	To ensure sustainable delivery in respect of water and sanitation, electricity, solid waste management and roads and stormwater services to all residents of SPM	Effective Management and supervision of the SDBIP on the KPIs of Sub-Directorate: Electricity	90% of the KPIs of the sub directorate have been met as per Ignite Dashboard report	New KPI	Updated SDBIP and report	90%	90%	90%	90%	2
<b>Strategic Performance (Top Layer SDBIP)</b>											
	Basic Service Delivery	To ensure adequate provision of new bulk infrastructure to unlock development and growth	Preparation and adoption of a Municipal Infrastructure Investment Framework (MIIF) by 30 June 2014	% progress in the preparation and adoption of a MIIF	0	Submission of documentary proof (reports, minutes of meetings, etc) of work done in preparing and adopting of a MIIF	100	100	100	100	5
	Basic Service Delivery	To ensure adequate provision of new bulk infrastructure to unlock development and growth	Increase the Bulk sewer treatment within SPM from 43,5 MI to 58,5 MI by 30 June 2014	Increase in the MI/day of sewer treatment	43,5 MI	Record of combined sewer treatment at all sewer plants in SPM	43,5	43,5	43,5	58,5	5
	Basic Service Delivery	To ensure adequate provision of new bulk infrastructure to unlock development and growth	Increase bulk water from Vaal River source to Reservoirs	Number of pumps replaced	New	Actual pumps replaced				2	5
	Basic Service Delivery	To ensure adequate provision of new bulk infrastructure to unlock development and growth	Increase the notified Bulk Electricity to SPM from 120MVA to 200MVA by 30 Jun 2015	Complete S/S projects	120 MVA	Progress reports and actual commissioning of S/S	130	130	130	130	7
	Basic Service Delivery	To ensure continuous maintenance, refurbishment, upgrade and replacement of existing infrastructure assets	Save 5% on electricity usage in SPM as per Eskom North West Region demand by 30 June 2015	% Electricity saved	0,05	Records of actual electricity usage in SPM	5	5	5	5	2
	Basic Service Delivery	To ensure continuous maintenance, refurbishment, upgrade and replacement of existing infrastructure assets	Decrease electricity losses to 12% by 30 June 2017	% electricity losses	0,18	Reports on electricity losses as per the actual records system	18	17	16	15	2


  

  

  
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Ref No	National KPA	Strategic Objectives	Key Performance Indicator (KPI)	Unit of Measurement	Baseline	Portfolio of evidence				Weight
						Q1	Q2	Q3	Q4	
	Basic Service Delivery	To ensure sustainable delivery in respect of water and sanitation, electricity, solid waste management and roads and stormwater services to all residents of SPM	1778 Additional households connected to the electricity network by 30 June 2017	No of houses connected to electricity network	9127	0	491	600	887	5
	Basic Service Delivery	To ensure continuous maintenance, refurbishment, upgrade and replacement of existing infrastructure assets	Decrease non-revenue water losses to 20% by 30 June 2017	% water losses	0	58	55	50	35	1
	Basic Service Delivery	To ensure continuous maintenance, refurbishment, upgrade and replacement of existing infrastructure assets	Achieve Bluedrop Status by 30 June 2017	% status achieved	0	84	84	84	90	2
	Basic Service Delivery	To ensure continuous maintenance, refurbishment, upgrade and replacement of existing infrastructure assets	Achieve Greendrop Status by 30 June 2017	% status achieved	0,76	90	95	100	100	2
	Basic Service Delivery	To ensure sustainable delivery in respect of water and sanitation, electricity, solid waste management and roads and stormwater services to all residents of SPM	Additional Households connected to sewer network by 30 June 2013	No of houses connected to sewer network	0	0	60	500	1353	2
	Basic Service Delivery	To ensure sustainable delivery in respect of water and sanitation, electricity, solid waste management and roads and stormwater services to all residents of SPM	Additional Households connected to water network by 30 June 2013	No of houses connected to water network	9343	0	60	500	1353	2

Ref No	National KPA	Strategic Objectives	Key Performance Indicator (KPI)	Unit of Measurement	Baseline	Targets				Weight
						Q1	Q2	Q3	Q4	
	Basic Service Delivery	To ensure continuous maintenance, refurbishment, upgrade and replacement of existing infrastructure assets	Resealing and Potholes patched annually by 30 June 2017	Km of roads resealed	New	0	5	15	20	2
	Basic Service Delivery	To ensure sustainable delivery in respect of water and sanitation, electricity, solid waste management and roads and stormwater services to all residents of SPM	Additional Households provided with a subsidised house by 30 June 2017	No of houses constructed	0	88	220	374	440	2
	Basic Service Delivery	To ensure sustainable delivery in respect of water and sanitation, electricity, solid waste management and roads and stormwater services to all residents of SPM	Additional new erven planned and surveyed and ready for installation of municipal services in existing informal settlements by 30 June 2017	No of erven surveyed on approved SG diagramme	0	40	140	740	1136	N/A
<b>Strategic Performance (Top Layer SDBIP)</b>										
	Municipal Transformation and Institutional Development	To provide a framework for Municipal Transformation and Institutional Development	Implement Council resolutions within the required timeframes	% of Council resolutions implemented	95%	95	95	95	95	1
	Municipal Financial Viability and Management	Ensure sound financial management and financial sustainability of SPM	Apply proper procurement practices with the adherence to the approved SCM policy to promote good governance	0 successful appeals	0	0	0	0	0	2
	Municipal Financial Viability and Management	Ensure sound financial management and financial sustainability of SPM	Approve sound and authorised expenditure of the directorate to enhance municipal financial viability and management	Number of months without unauthorised spending	12	3	3	3	3	2
	Good Governance and Public Participation	To ensure an improved audit opinion in line with the LGTAS	Implement correctives measures as identified in internal audit reports the reduce risk areas	% of issues raised and proposed corrective measures rectified	95%	95	95	95	95	2

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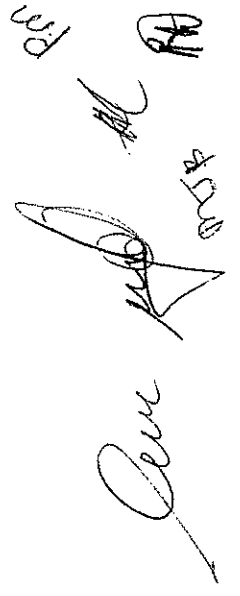
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Ref No	National KPA	Strategic Objectives	Key Performance Indicator (KPI)	Unit of Measurement	Baseline	Portfolio of evidence				Weight
						Q1	Q2	Q3	Q4	
	Good Governance and Public Participation	To ensure an improved audit opinion in line with the LGTAS	Manage risks identified for the Directorate and implement corrective measures to reduce risk areas and protect the municipality against legal actions and submit quarterly reports to the internal audit division	Number of risk management reports submitted	4	1	1	1	1	2
	Municipal Financial Viability and Management	Ensure sound financial management and financial sustainability of SPM	100% of the grant funding spent in accordance with the transfer payment agreement	% of grant funding spent	1	0	0	0	100	2
	Municipal Financial Viability and Management	Ensure sound financial management and financial sustainability of SPM	Submit departmental evaluation report of bid to SCM within 15 working days from closing date of the bid (excl Consulting engineers)	% submitted	100%	100	100	100	100	2
	Municipal Financial Viability and Management	Ensure sound financial management and financial sustainability of SPM	Submit funding motivations to external sources and funders to enhance municipal revenue	Number of funding motivations submitted to external sources and funders	2	0	1	0	1	2
	Municipal Transformation and Institutional Development	To provide a framework for Municipal Transformation and Institutional Development	Submit Health and Safety reports of the department on a quarterly basis	Number of reports submitted	4	1	1	1	1	2
	Municipal Transformation and Institutional Development	To provide a framework for Municipal Transformation and Institutional Development	Hold monthly departmental Health and Safety meetings	Number of meetings held	10	3	2	2	3	2
	Municipal Transformation and Institutional Development	To provide an overarching framework for sustainable municipal performance improvement	Evaluate all identified personnel in terms of the performance management system	Number of formal evaluations completed	2	0	1	1	0	1
	Municipal Transformation and Institutional Development	To provide a framework for Municipal Transformation and Institutional Development	Liaise with line managers of a regular basis to ensure effective management of the directorate and submit copies of the minutes to the Municipal Manager	Number of meetings and copies of minutes submitted	10	3	2	2	3	1

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 - "RA" initials at the top right.  
 - "M" and "S" initials below "RA".

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Ref No	National KPA	Strategic Objectives	Key Performance Indicator (KPI)	Unit of Measurement	Baseline	Portfolio of evidence				Weight	
						Q1	Q2	Q3	Q4		
	Municipal Transformation and Institutional Development	To provide an overarching framework for sustainable municipal performance improvement	Develop and sign performance agreements and performance development plans with all identified personnel before 31 August	% signed agreements and development plans (Sep)	100%	Ignite PMS report and Signed agreements and development plans	100	0	0	0	1
	Municipal Transformation and Institutional Development	To provide an overarching framework for sustainable municipal performance improvement	Liaise with the portfolio Councilor/ committee on a monthly basis to ensure the overall performance of the municipality	Number of meetings with the Portfolio Councilor / committee _	10	Minutes of meetings	3	2	2	3	1
	Municipal Transformation and Institutional Development	To provide a framework for Municipal Transformation and Institutional Development	Visit outside departmental operational units	Number of departmental operational units visited	3	Minutes of meetings	1	0	1	1	1


  
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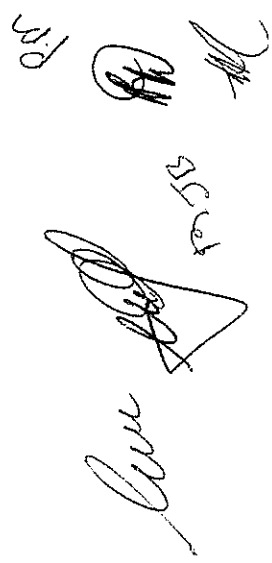
## CORE COMPETENCY FRAMEWORK

The performance of Section 57 Managers should be assessed in terms of Core Competency Requirements (CCRs) as required by the Regulations of Section 57 Managers. These competencies describe general managerial and occupational skills. The assessment of these competencies will account for **twenty percent** of the total employee assessment score. The bold CCR's are compulsory for all managers.

Annexure B describes the different proficiency levels for each Core Competency Requirements (CCRs) and should therefore form part of this section of the Performance Plan.

Core Managerial Skill	Select prov. level (refer Annexure B)	Definition	Weight
Strategic Leadership and Management	Competent	Skills to be able to provide a vision, set the direction for the municipality or department and inspire others in order to deliver on the municipality's mandate	<b>2</b>
Programme and project management	Competent	Skills to enable the individual to plan, manage, monitor and evaluate specific activities in order to ensure that policies are implemented and that local government objectives are achieved	<b>2</b>
<b>Financial Management</b>	Basic	Skills required to manage projects and/or department work within the constraints of a budget. This includes being able to plan a budget at the beginning of the financial year, controlling costs throughout the year by allocating resources appropriately and understanding and anticipating the impact of other departments on own budget and adopting where necessary.	<b>2</b>
Change Management	Basic	Skills to initiate and support municipal transformation and change in order to implement new initiatives successfully and deliver on service delivery commitments.	<b>1</b>
Knowledge management	Basic	This includes the working knowledge of Council regulations, by-laws and policies, National, Provincial and Local Government structures and applicable legislation.	<b>1</b>
Problem solving and analytical thinking	Competent	Be able to systematically identify, analyse and resolve existing and anticipated problems in order to reach optimum solutions in a timely manner	<b>2</b>
<b>People and diversity management</b>	Competent	Skills to manage and encourage people, optimise their outputs and effectively manage relationships. This includes holding regular meetings with his/ her team so that information can be shared and so that the team is aware of decisions that may affect them. It also involves distributing workloads so that individual skills are used appropriately and so that the work is evenly spread, making sure that the team has the necessary tools and resources in order to do their work and motivating the team so that they are committed to achieving the goals of the department and ultimately the municipality	<b>2</b>
<b>Client orientation and</b>	Competent	Whether providing a service to an internal or external customer this means trying to determine	<b>2</b>

Core Managerial Skill	Select prov. level (refer Annexure B)	Definition	Weight
customer focus		the needs of the customer and then meeting those needs. At a minimum employees are required to react to queries, keeping promises, being honest in all their dealings, adhering to policies, procedures and delegations, keeping the client up to date, being friendly and helpful and solving problems quickly and without argument. Ideally managers are required to be proactive by trying to understand needs of the customer and providing an appropriate service based on these underlying needs	
Service delivery innovation	Competent	This is about wanting to work well to achieve a high standard by trying to improve on the way things are done and by working towards achieving the work objectives. It is also about putting plans into action, meeting deadlines, taking initiative and solving problems to make sure that things get done. Employees do not wait to be told to do something but rather are encouraged to use their initiative to make sure that things get done accurately and efficiently.	2
Communication	Competent	Skills to be able to exchange information and ideas in a clear and concise manner appropriate for the audience in order to explain, persuade, convince and influence others to achieve the desired outcomes.	2
Accountability and ethical conduct	Competent	Must be able to display and build the highest standards of ethical and moral conduct in order to promote confidence and trust in the municipality	2
<b>Total</b>			<b>20</b>


  
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