SOL PLATJE MUNICIPALITY

INVITATION FOR QUOTATION

Q 086/2017:

SUPPLY AND DELIVERY OF NAS BACKUP STORAGE

SUPPLIER ___________________________________________

ADDRESS: ___________________________ TEL NO: _____________

_____________________________ CELL NO.: ________________

_____________________________ FAX NO: __________________

Prepared for:-
Sol Plaatje Municipality
Private Bag X5030
KIMBERLEY
8300

Prepared by:
Acquisitioner: B Mpotsang
Line Manager: Wikus Gouws
Private Bag x5030
KIMBERLEY
8300

Printing Vote No: 2112647002161Q9FZZ7E Compile Date: 17 February 2017
SOL PLAATJE MUNICIPALITY

INVITATION FOR QUOTATIONS

Directorate: Financial Services

Section: ICT

Contact person: Wikus Gouws

Telephone: 053 830 6483

Date: 17 February 2017

Reference No. “Q 086/2017”

SUPPLY AND DELIVERY OF NAS BACKUP STORAGE

Documents are obtainable from:
SCU Contract Department, Abattoir Road, Stores Complex (Opposite Security), Kimberley
Telephone: 053 8078482/3/9

INVITATION FOR QUOTATIONS FOR ORDERS ABOVE R30 000 BUT LESS THAN R200 000

ANY QUOTE WILL NOT NECESSARILY BE ACCEPTED AND THE COUNCIL RESERVES THE RIGHT TO ACCEPT THE WHOLE OR ANY PART OF ANY QUOTE


GENERAL CONDITIONS

Quotations must be submitted using this official quotation form.

(1) It must be stated that such envelope contains a bid and the contract reference number must appear on the envelope.
(2) Bidders are required to confirm the status of their Municipal Rates and Service Accounts. A current(30days) Municipal Rates and Service account, letters from Landlords or a Valid Lease Agreement and letters and or invoices from the Body Corporate will be accepted. Such confirmation documents MAY NOT be older than 30 days. See Listing Criteria in this document.
(3) Should a bidder fail to maintain this status after the contract is awarded; the Council may cancel this contract or make single or multiple deductions from any payment claims in terms of the contract to the amount equal to any outstanding amounts owed to the municipality. The bidder shall have no right whatsoever to claim damages resulting from such action.
(4) A **certified copy** of the B-BBEE certificate **must** be included in this bid document to qualify for relevant points claimed as per MQD 6.1 Certificate.

(5) Prices quoted must include value added tax, delivery charges.

(6) All quotations will be evaluated on a point system in accordance with the provisions of the Preferential Procurement Policy Framework Act No. 5 of 2000 and the revised Preferential Procurement Regulations 2011.

(7) Sealed quotations, outwardly marked: “**Q 086/2017: Supply & Delivery of NAS Backup Storage**” **must** be addressed to the **Municipal Manager** and placed in the: **Tender Box (Opposite Security), Abattoir Road, Municipal Stores Complex, Kimberley** not later than **11:00 on 3 March 2017**.

(8) **Quotations will be opened in public in the SCU Boardroom, Abattoir Road, Municipal Stores Complex, and Kimberley immediately after closing the same day.**

(9) Invoices received before the last working day of each month will, as far as possible, be paid not later than the 15th day of the following month.

---

**DELIVERY TIME** __________________________________________________________

**SETTLEMENT DISCOUNT ALLOWED** ___________________________% ____________________________DAYS

**THESE QUOTED PRICE/S WILL HOLD GOOD UNTIL** ____________________________

**NAME OF BIDDER** __________________________________________________________

**AUTHORISED BY THE BIDDER:** PRINT NAME ___________________________SIGNATURE ________________

**NAME OF COMPANY:** __________________________________________________________

**POSTAL ADDRESS:** __________________________________________________________

**TELEPHONE NO.** ________________________________ **FAX NO.** ________________________________

**E-MAIL ADDRESS** __________________________________________________________
TAX CLEARANCE CERTIFICATE REQUIREMENTS

It is a condition of bid that the taxes of the successful bidder must be in order, or that satisfactory arrangements have been made with South African Revenue Service (SARS) to meet the bidder's tax obligations. Bids will not be considered if these requirements are not met.

1. Previously a taxpayer (bidder) was required to submit a valid, original paper Tax Clearance Certificate (TCC), the taxpayer/ bidder MUST now submit TAX COMPLIANCE STATUS PIN NO. Bids WILL NOT be considered if the correct PIN NO and Tax Reference Number are not included in this document

2. Applications for the Tax Clearance Certificates MUST be made via eFiling. In order to use this provision, taxpayers will need to register with SARS as eFilers through the website www.sars.gov.za or contact the SARS Contact Centre on 0800007277

3. The bidder (Name) …………………………………………………. Hereby grants Sol Plaatje Local Municipality

   Permission to use the following TAX COMPLIANCE STATUS PIN NO ………………………………………………………

   With reference to the following Tax reference Number…………………………………………………………

4. The bidder (Name) …………………………………………………. Hereby further grants SARS permission to disclose the bidders TAX COMPLIANCE STATUS to Sol Plaatje Local Municipality on an on-going basis during the Contract Term.

5. A bidder who appoints a Sub-Contractor / Joint Venture / Consortia to execute a portion of a contract (Sub-Contractor in excess of National Treasury threshold) must ensure that each appointment is TAX COMPLIANT and remains TAX COMPLIANT for the duration of the contract. Successful bidders must provide Sol Plaatje Local Municipality authority from their appointments confirming that SARS may, on an on-going basis during the contract term, disclose the appointed Sub-Contractor / Joint Venture / Consortia TAX COMPLIANCE STATUS.

6. A bidder who acts on behalf of an undisclosed principal must disclose such a fact upon submission of a bid as well as identity of that principal. The TAX COMPLIANCE STATUS of that principle must be verified in the same manner as that of the bidder. The same principle applies mutatis mutandis to any Sub-Contractor / Joint Venture / Consortia appointed by a successful bidder to execute a portion of a contract.

7. The TAX COMPLIANCE STATUS of all parties must be disclosed and verified if the bidder consist of a partnership and

8. A bidder, who is not resident in the Republic of South Africa, must apply for TAX CLEARANCE at SARS.

SIGNATURE OF BIDDER ……………………………………………….

DATE: ……………………………

CAPACITY UNDER WHICH THIS BID IS SIGNED: ……………………………………………………………………………

WITNESS 1 …………………………………………………………….. DATE: ……………………………

WITNESS 2 …………………………………………………………….. DATE: ……………………………
**MANDATORY** LISTING CRITERIA

<table>
<thead>
<tr>
<th><strong>1</strong></th>
<th>Company name</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>2</strong></td>
<td>Contact details</td>
</tr>
<tr>
<td></td>
<td>Email address</td>
</tr>
<tr>
<td></td>
<td>Contact person:</td>
</tr>
<tr>
<td><strong>3</strong></td>
<td>Postal Address:</td>
</tr>
<tr>
<td><strong>4</strong></td>
<td>VAT registered</td>
</tr>
<tr>
<td><strong>5</strong></td>
<td>Settlement discount allowed</td>
</tr>
<tr>
<td><strong>6</strong></td>
<td>Bank account details</td>
</tr>
<tr>
<td></td>
<td>Bank Name</td>
</tr>
<tr>
<td></td>
<td>Branch Name</td>
</tr>
<tr>
<td></td>
<td>Bank account type</td>
</tr>
<tr>
<td><strong>7</strong></td>
<td>Business Municipal Rates and Service Account Number:</td>
</tr>
<tr>
<td><strong>8</strong></td>
<td>Located in Sol Plaatje Municipal Area</td>
</tr>
<tr>
<td><strong>9</strong></td>
<td>% owned by black male: ______________%</td>
</tr>
<tr>
<td><strong>10</strong></td>
<td>B-BBEE status level of contribution:</td>
</tr>
<tr>
<td><strong>11</strong></td>
<td>Indicate main sector. Please select one (1) only:</td>
</tr>
<tr>
<td></td>
<td>Agriculture □; Mining and Quarrying □; Manufacturing □; Electricity, Gas, Water □; Construction □; Retail &amp; Motor Trade &amp; Repairs □; Wholesale Trade, Commercial Agents and Allied Services □; Catering &amp; Accommodation &amp; other Trade □; Transport, Storage &amp; Communications □; Finance and Business Services □; Community, Social and Personal Services □</td>
</tr>
<tr>
<td><strong>12</strong></td>
<td>Amount full time employed staff: __________</td>
</tr>
<tr>
<td><strong>13</strong></td>
<td>It is the responsibility of the Supplier/Bidder to inform Sol Plaatje Municipality of any changes during the contract period</td>
</tr>
</tbody>
</table>

**NAME (PRINT)** ___________________________ **SIGNATURE:__________________________**

**CAPACITY:** ___________________________

**WITNESS (NAME):_________________________ **SIGNATURE:__________________________

**DATE:___________________________
ATTACH PROOF OF CSD DATABASE REGISTRATION HERE
ATTACH THE RECENT MUNICIPAL ACCOUNT OR AGREEMENTS AS PER GENERAL CONDITIONS NO: 2 (MQD 1)
SUPPLY AND DELIVERY OF NAS BACKUP STORAGE

PRICE SCHEDULE, SPECIFICATIONS AND SPECIAL CONDITIONS

- Suppliers are to adhere strictly to the specifications and special conditions given.
- Not adhering to the specifications and conditions, will result in the quotation not being considered

- PLEASE NOTE: ANY QUOTE WILL NOT NECESSARILY BE ACCEPTED AND THE COUNCIL RESERVES THE RIGHT TO ACCEPT THE WHOLE OR ANY PART OF THE QUOTE

SPECIFICATION & SPECIAL CONDITIONS

- The NAS device supplied must be able to take TWO additional expansion bays, in order to expand the device to take up to at least 36 x 3.5” drives
- The device must support a minimum of 360TB raw capacity
- Memory on the device must be expandable to at least 64GB
- The NAS device must have a minimum of 4 x 1GBPS RJ-45 LAN ports
- The NAS device must include a redundant power supply
- The NAS device must come with at least a 5 year warranty
- The NAS device must support raid 0,1,5,6 and raid 10 at a minimum
- The bidder must include a separate detailed quote of the product (incl. brand, device specification or product brochure)

PRICE SCHEDULE

<table>
<thead>
<tr>
<th>Descriptions</th>
<th>Quantity</th>
<th>Price per each. Inclusive of Vat &amp; Delivery</th>
<th>Total price Inclusive of Vat &amp; Delivery.</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. Synology Rack Station RS3617RPxs or similar</td>
<td>1</td>
<td></td>
<td></td>
</tr>
<tr>
<td>2. 10TB Enterprise NAS HDD(Seagate preferred)</td>
<td>12</td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>NETT TOTAL AMOUNT</strong></td>
<td></td>
<td></td>
<td><strong>R</strong></td>
</tr>
</tbody>
</table>
* FOR OFFICE USE ONLY*

**AS AGREED BY:**
LINE/PROJECT MANAGER: ___________________________ DATE: ___________________________

ACQUISITIONING MANAGER: ___________________________ DATE: ___________________________

**APPROVED BY:**
GENERAL MANAGER: SUPPLY CHAIN: ___________________________ DATE: ___________________________
1. GENERAL CONDITIONS

1.1 The following preference point systems are applicable to all bids:

- the 80/20 system for requirements with a Rand value of up to R1 000 000 (all applicable taxes included); and

1.2 The value of this bid is estimated to exceed/not exceed R1 000 000 (all applicable taxes included) and therefore the 80/20 system shall be applicable.

1.3 Preference points for this bid shall be awarded for:

(a) Price; and

(b) B-BBEE Status Level of Contribution.

1.3.1 The maximum points for this bid are allocated as follows:

<table>
<thead>
<tr>
<th>POINTS</th>
<th>PRICE</th>
<th>B-BBEE STATUS LEVEL OF CONTRIBUTION</th>
</tr>
</thead>
<tbody>
<tr>
<td>80</td>
<td></td>
<td>20</td>
</tr>
</tbody>
</table>

Total points for Price and B-BBEE must not exceed 100

1.4 Failure on the part of a bidder to fill in and/or to sign this form and submit a B-BBEE Verification Certificate from a Verification Agency accredited by the South African Accreditation System (SANAS) or a Registered Auditor approved by the Independent Regulatory Board of Auditors (IRBA) or an Accounting Officer as contemplated in the Close Corporation Act (CCA) together with the bid, will be interpreted to mean that preference points for B-BBEE status level of contribution are not claimed.

1.5 The purchaser reserves the right to require of a bidder, either before a bid is adjudicated or at any time subsequently, to substantiate any claim in regard to preferences, in any manner required by the purchaser.
2. DEFINITIONS

2.1 “all applicable taxes” includes value-added tax, pay as you earn, income tax, unemployment insurance fund contributions and skills development levies;

2.2 “B-BBEE” means broad-based black economic empowerment as defined in section 1 of the Broad-Based Black Economic Empowerment Act;

2.3 “B-BBEE status level of contributor” means the B-BBEE status received by a measured entity based on its overall performance using the relevant scorecard contained in the Codes of Good Practice on Black Economic Empowerment, issued in terms of section 9(1) of the Broad-Based Black Economic Empowerment Act;

2.4 “bid” means a written offer in a prescribed or stipulated form in response to an invitation by an organ of state for the provision of services, works or goods, through price quotations, advertised competitive bidding processes or proposals;

2.5 “Broad-Based Black Economic Empowerment Act” means the Broad-Based Black Economic Empowerment Act, 2003 (Act No. 53 of 2003);

2.6 “comparative price” means the price after the factors of a non-firm price and all unconditional discounts that can be utilised have been taken into consideration;

2.7 “consortium or joint venture” means an association of persons for the purpose of combining their expertise, property, capital, efforts, skill and knowledge in an activity for the execution of a contract;

2.8 “contract” means the agreement that results from the acceptance of a bid by an organ of state;

2.9 “EME” means any enterprise with an annual total revenue of R5 million or less;

2.10 “Firm price” means the price that is only subject to adjustments in accordance with the actual increase or decrease resulting from the change, imposition, or abolition of customs or excise duty and any other duty, levy, or tax, which, in terms of the law or regulation, is binding on the contractor and demonstrably has an influence on the price of any supplies, or the rendering costs of any service, for the execution of the contract;

2.11 “functionality” means the measurement according to predetermined norms, as set out in the bid documents, of a service or commodity that is designed to be practical and useful, working or operating, taking into account, among other factors, the quality, reliability, viability and durability of a service and the technical capacity and ability of a bidder;

2.12 “non-firm prices” means all prices other than “firm” prices;

2.13 “person” includes a juristic person;

2.14 “rand value” means the total estimated value of a contract in South African currency, calculated at the time of bid invitations, and includes all applicable taxes and excise duties;

2.15 “sub-contract” means the primary contractor’s assigning, leasing, making out work to, or employing, another person to support such primary contractor in the execution of part of a project in terms of the contract;
“total revenue” bears the same meaning assigned to this expression in the Codes of Good Practice on Black Economic Empowerment, issued in terms of section 9(1) of the Broad-Based Black Economic Empowerment Act and promulgated in the Government Gazette on 9 February 2007;

“trust” means the arrangement through which the property of one person is made over or bequeathed to a trustee to administer such property for the benefit of another person; and

“trustee” means any person, including the founder of a trust, to whom property is bequeathed in order for such property to be administered for the benefit of another person.

3. ADJUDICATION USING A POINT SYSTEM

3.1 The bidder obtaining the highest number of total points will be awarded the contract.

3.2 Preference points shall be calculated after prices have been brought to a comparative basis taking into account all factors of non-firm prices and all unconditional discounts.;

3.3 Points scored must be rounded off to the nearest 2 decimal places.

3.4 In the event that two or more bids have scored equal total points, the successful bid must be the one scoring the highest number of preference points for B-BBEE.

3.5 However, when functionality is part of the evaluation process and two or more bids have scored equal points, including equal preference points for B-BBEE, the successful bid must be the one scoring the highest score for functionality.

3.6 Should two or more bids be equal in all respects, the award shall be decided by the drawing of lots.

4. POINTS AWARDED FOR PRICE

4.1 THE 80/20 PREFERENCE POINT SYSTEMS

A maximum of 80 points is allocated for price on the following basis:

\[
P_s = 80 \left( 1 - \frac{P_t - P_{min}}{P_{min}} \right)
\]

Where

\begin{align*}
P_s & = \text{Points scored for comparative price of bid under consideration} \\
P_t & = \text{Comparative price of bid under consideration} \\
P_{min} & = \text{Comparative price of lowest acceptable bid}
\end{align*}
5. Points awarded for B-BBEE Status Level of Contribution

5.1 In terms of Regulation 5 (2) and 6 (2) of the Preferential Procurement Regulations, preference points must be awarded to a bidder for attaining the B-BBEE status level of contribution in accordance with the table below:

<table>
<thead>
<tr>
<th>B-BBEE Status Level of Contributor</th>
<th>Number of points (80/20 system)</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>20</td>
</tr>
<tr>
<td>2</td>
<td>18</td>
</tr>
<tr>
<td>3</td>
<td>16</td>
</tr>
<tr>
<td>4</td>
<td>12</td>
</tr>
<tr>
<td>5</td>
<td>8</td>
</tr>
<tr>
<td>6</td>
<td>6</td>
</tr>
<tr>
<td>7</td>
<td>4</td>
</tr>
<tr>
<td>8</td>
<td>2</td>
</tr>
<tr>
<td>Non-compliant contributor</td>
<td>0</td>
</tr>
</tbody>
</table>
ATTACH CERTIFIED COPY OF B-BBEE CERTIFICATE HERE
5.2 Bidders who qualify as EMEs in terms of the B-BBEE Act must submit a certificate issued by an Accounting Officer as contemplated in the CCA or a Verification Agency accredited by SANAS or a Registered Auditor. Registered auditors do not need to meet the prerequisite for IRBA’s approval for the purpose of conducting verification and issuing EMEs with B-BBEE Status Level Certificates.

5.3 Bidders other than EMEs must submit their original and valid B-BBEE status level verification certificate or a certified copy thereof, substantiating their B-BBEE rating issued by a Registered Auditor approved by IRBA or a Verification Agency accredited by SANAS.

5.4 A trust, consortium or joint venture, will qualify for points for their B-BBEE status level as a legal entity, provided that the entity submits their B-BBEE status level certificate.

5.5 A trust, consortium or joint venture will qualify for points for their B-BBEE status level as an unincorporated entity, provided that the entity submits their consolidated B-BBEE scorecard as if they were a group structure and that such a consolidated B-BBEE scorecard is prepared for every separate bid.

5.6 Tertiary institutions and public entities will be required to submit their B-BBEE status level certificates in terms of the specialized scorecard contained in the B-BBEE Codes of Good Practice.

5.7 A person will not be awarded points for B-BBEE status level if it is indicated in the bid documents that such a bidder intends sub-contracting more than 25% of the value of the contract to any other enterprise that does not qualify for at least the points that such a bidder qualifies for, unless the intended sub-contractor is an EME that has the capability and ability to execute the sub-contract.

5.8 A person awarded a contract may not sub-contract more than 25% of the value of the contract to any other enterprise that does not have an equal or higher B-BBEE status level than the person concerned, unless the contract is sub-contracted to an EME that has the capability and ability to execute the sub-contract.

6. BID DECLARATION

6.1 Bidders who claim points in respect of B-BBEE Status Level of Contribution must complete the following:

7. B-BBEE STATUS LEVEL OF CONTRIBUTION CLAIMED IN TERMS OF PARAGRAPHS 1.3.1.2 AND 5.1

7.1 B-BBEE Status Level of Contribution: ............ = .............(maximum of 10 or 20 points)

(Points claimed in respect of paragraph 7.1 must be in accordance with the table reflected in paragraph 5.1 and must be substantiated by means of a B-BBEE certificate issued by a Verification Agency accredited by SANAS or a Registered Auditor approved by IRBA or an Accounting Officer as contemplated in the CCA).

8. SUB-CONTRACTING

8.1 Will any portion of the contract be sub-contracted? YES / NO (delete which is not applicable)

8.1.1 If yes, indicate:

(i) what percentage of the contract will be subcontracted? ................................................%  
(ii) the name of the sub-contractor? ....................................................................................

(iii) the B-BBEE status level of the sub-contractor? ..........................................................

(iv) whether the sub-contractor is an EME? YES / NO (delete which is not applicable)
9. DECLARATION WITH REGARD TO COMPANY/FIRM

9.1 Name of firm: .................................................................

9.2 VAT registration number: .................................................

9.3 Company registration number: ........................................

9.4 TYPE OF COMPANY/FIRM

☐ Partnership/Joint Venture / Consortium
☐ One person business/sole propriety
☐ Close corporation
☐ Company
☐ (Pty) Limited

[TICK APPLICABLE BOX]

9.5 DESCRIBE PRINCIPAL BUSINESS ACTIVITIES

.........................................................................................
.........................................................................................
.........................................................................................
.........................................................................................
.........................................................................................

9.6 COMPANY CLASSIFICATION

☐ Manufacturer
☐ Supplier
☐ Professional service provider
☐ Other service providers, e.g. transporter, etc.

[TICK APPLICABLE BOX]

9.7 MUNICIPAL INFORMATION

Municipality where business is situated: ..............................................
Registered Account Number: ........................................................
Stand Number: ...........................................................................

9.8 TOTAL NUMBER OF YEARS THE COMPANY/FIRM HAS BEEN IN BUSINESS?

.................................................................

9.9 I/we, the undersigned, who is / are duly authorised to do so on behalf of the company/firm, certify that the points claimed, based on the B-BBE status level of contribution indicated in paragraph 7 of the foregoing certificate, qualifies the company/firm for the preference(s) shown and I / we acknowledge that:
(i) The information furnished is true and correct;

(ii) The preference points claimed are in accordance with the General Conditions as indicated in paragraph 1 of this form.

(iii) In the event of a contract being awarded as a result of points claimed as shown in paragraph 7, the contractor may be required to furnish documentary proof to the satisfaction of the purchaser that the claims are correct;

(iv) If the B-BBEE status level of contribution has been claimed or obtained on a fraudulent basis or any of the conditions of contract have not been fulfilled, the purchaser may, in addition to any other remedy it may have –

(a) disqualify the person from the bidding process;

(b) recover costs, losses or damages it has incurred or suffered as a result of that person’s conduct;

(c) cancel the contract and claim any damages which it has suffered as a result of having to make less favourable arrangements due to such cancellation;

(d) restrict the bidder or contractor, its shareholders and directors, or only the shareholders and directors who acted on a fraudulent basis, from obtaining business from any organ of state for a period not exceeding 10 years, after the audi alteram partem (hear the other side) rule has been applied; and

(e) forward the matter for criminal prosecution

WITNESSES:

1. ....................................................... ....................................................... .................................

............................................................

SIGNATURE(S)OF BIDDER(S)

2. ....................................................... DATE:.................................

ADDRESS:.................................

............................................................

............................................................

............................................................
DECLARATION OF BIDDER’S PAST SUPPLY CHAIN MANAGEMENT PRACTICES

1 This Municipal Bidding Document must form part of all bids invited.

2 It serves as a declaration to be used by municipalities and municipal entities in ensuring that when goods and services are being procured, all reasonable steps are taken to combat the abuse of the supply chain management system.

3 The bid of any bidder may be rejected if that bidder, or any of its directors have:
   a. abused the municipality’s / municipal entity’s supply chain management system or committed any improper conduct in relation to such system;
   b. been convicted for fraud or corruption during the past five years;
   c. willfully neglected, reneged on or failed to comply with any government, municipal or other public sector contract during the past five years; or
   d. been listed in the Register for Tender Defaulters in terms of section 29 of the Prevention and Combating of Corrupt Activities Act (No 12 of 2004).

4 In order to give effect to the above, the following questionnaire must be completed and submitted with the bid.

<table>
<thead>
<tr>
<th>Item</th>
<th>Question</th>
</tr>
</thead>
<tbody>
<tr>
<td>4.1</td>
<td>Is the bidder or any of its directors listed on the National Treasury’s</td>
</tr>
<tr>
<td></td>
<td>Database of Restricted Suppliers as companies or persons prohibited from</td>
</tr>
<tr>
<td></td>
<td>doing business with the public sector?</td>
</tr>
<tr>
<td></td>
<td>(Companies or persons who are listed on this Database were informed in</td>
</tr>
<tr>
<td></td>
<td>writing of this restriction by the Accounting Officer/Authority of the</td>
</tr>
<tr>
<td></td>
<td>institution that imposed the restriction after the <em>audi alteram partem</em></td>
</tr>
<tr>
<td></td>
<td>rule was applied).</td>
</tr>
<tr>
<td></td>
<td>The Database of Restricted Suppliers now resides on the National</td>
</tr>
<tr>
<td></td>
<td>Treasury’s website(<a href="http://www.treasury.gov.za">www.treasury.gov.za</a>)</td>
</tr>
<tr>
<td></td>
<td>and can be accessed by clicking on its link at the bottom of the home</td>
</tr>
<tr>
<td></td>
<td>page.</td>
</tr>
</tbody>
</table>

4.1.1 If so, furnish particulars:
4.2 | Is the bidder or any of its directors listed on the Register for Tender Defaulters in terms of section 29 of the Prevention and Combating of Corrupt Activities Act (No 12 of 2004)? Register for Tender Defaulters can be accessed on the National Treasury’s website (www.treasury.gov.za) by clicking on its link at the bottom of the home page. | Yes | No
---|---|---|---
4.2.1 | If so, furnish particulars: | | |
---|---|---|---
4.3 | Was the bidder or any of its directors convicted by a court of law (including a court of law outside the Republic of South Africa) for fraud or corruption during the past five years? | Yes | No
---|---|---|---
4.3.1 | If so, furnish particulars: | | |
---|---|---|---
| Item | Question | Yes | No
| 4.4 | Does the bidder or any of its directors owe any municipal rates and taxes or municipal charges to the municipality / municipal entity, or to any other municipality / municipal entity, that is in arrears for more than three months? | Yes | No
---|---|---|---
4.4.1 | If so, furnish particulars: | | |
---|---|---|---
| 4.5 | Was any contract between the bidder and the municipality / municipal entity or any other organ of state terminated during the past five years on account of failure to perform on or comply with the contract? | Yes | No
---|---|---|---
4.7.1 | If so, furnish particulars: | | |
CERTIFICATION

I, THE UNDERSIGNED (FULL NAME) .................................................................
CERTIFY THAT THE INFORMATION FURNISHED ON THIS
DECLARATION FORM TRUE AND CORRECT.

I ACCEPT THAT, IN ADDITION TO CANCELLATION OF A CONTRACT, ACTION MAY BE TAKEN AGAINST ME SHOULD
THIS DECLARATION PROVE TO BE FALSE.

..................................................  ........................................
Signature                          Date

..................................................  ........................................
Position                           Name of Bidder
DECLARATION OF BIDDER’S PAST SUPPLY CHAIN MANAGEMENT PRACTICES

1 This Municipal Bidding Document must form part of all bids invited.

2 It serves as a declaration to be used by municipalities and municipal entities in ensuring that when goods and services are being procured, all reasonable steps are taken to combat the abuse of the supply chain management system.

3 The bid of any bidder may be rejected if that bidder, or any of its directors have:
   a. abused the municipality’s / municipal entity’s supply chain management system or committed any improper conduct in relation to such system;
   b. been convicted for fraud or corruption during the past five years;
   c. willfully neglected, reneged on or failed to comply with any government, municipal or other public sector contract during the past five years; or
   d. been listed in the Register for Tender Defaulters in terms of section 29 of the Prevention and Combating of Corrupt Activities Act (No 12 of 2004).

4 In order to give effect to the above, the following questionnaire must be completed and submitted with the bid.

<table>
<thead>
<tr>
<th>Item</th>
<th>Question</th>
<th>Yes</th>
<th>No</th>
</tr>
</thead>
<tbody>
<tr>
<td>4.1</td>
<td>Is the bidder or any of its directors listed on the National Treasury’s Database of Restricted Suppliers as companies or persons prohibited from doing business with the public sector? (Companies or persons who are listed on this Database were informed in writing of this restriction by the Accounting Officer/Authority of the institution that imposed the restriction after the audi alteram partem rule was applied). The Database of Restricted Suppliers now resides on the National Treasury’s website (<a href="http://www.treasury.gov.za">www.treasury.gov.za</a>) and can be accessed by clicking on its link at the bottom of the home page.</td>
<td>Yes</td>
<td>No</td>
</tr>
<tr>
<td>4.1.1</td>
<td>If so, furnish particulars:</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Item</td>
<td>Question</td>
<td>Yes</td>
<td>No</td>
</tr>
<tr>
<td>------</td>
<td>----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------</td>
<td>-----</td>
<td>----</td>
</tr>
<tr>
<td>4.2</td>
<td>Is the bidder or any of its directors listed on the Register for Tender Defaulters in terms of section 29 of the Prevention and Combating of Corrupt Activities Act (No 12 of 2004)? Register for Tender Defaulters can be accessed on the National Treasury’s website (<a href="http://www.treasury.gov.za">www.treasury.gov.za</a>) by clicking on its link at the bottom of the home page.</td>
<td>Yes</td>
<td>No</td>
</tr>
<tr>
<td>4.2.1</td>
<td>If so, furnish particulars:</td>
<td></td>
<td></td>
</tr>
<tr>
<td>4.3</td>
<td>Was the bidder or any of its directors convicted by a court of law (including a court of law outside the Republic of South Africa) for fraud or corruption during the past five years?</td>
<td>Yes</td>
<td>No</td>
</tr>
<tr>
<td>4.3.1</td>
<td>If so, furnish particulars:</td>
<td></td>
<td></td>
</tr>
<tr>
<td>4.4</td>
<td>Does the bidder or any of its directors owe any municipal rates and taxes or municipal charges to the municipality / municipal entity, or to any other municipality / municipal entity, that is in arrears for more than three months?</td>
<td>Yes</td>
<td>No</td>
</tr>
<tr>
<td>4.4.1</td>
<td>If so, furnish particulars:</td>
<td></td>
<td></td>
</tr>
<tr>
<td>4.5</td>
<td>Was any contract between the bidder and the municipality / municipal entity or any other organ of state terminated during the past five years on account of failure to perform on or comply with the contract?</td>
<td>Yes</td>
<td>No</td>
</tr>
<tr>
<td>4.7.1</td>
<td>If so, furnish particulars:</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
CERTIFICATION

I, THE UNDERSIGNED (FULL NAME) ..................................................
CERTIFY THAT THE INFORMATION FURNISHED ON THIS
DECLARATION FORM TRUE AND CORRECT.

I ACCEPT THAT, IN ADDITION TO CANCELLATION OF A CONTRACT, ACTION MAY BE TAKEN AGAINST ME SHOULD
THIS DECLARATION PROVE TO BE FALSE.

................................................. .................................................
Signature Date

................................................. .................................................
Position Name of Bidder
CERTIFICATE OF INDEPENDENT BID DETERMINATION

1 This Municipal Bidding Document (MBD) must form part of all bids¹ invited.

2 Section 4 (1) (b) (iii) of the Competition Act No. 89 of 1998, as amended, prohibits an agreement between, or concerted practice by, firms, or a decision by an association of firms, if it is between parties in a horizontal relationship and if it involves collusive bidding (or bid rigging).² Collusive bidding is a *pe se* prohibition meaning that it cannot be justified under any grounds.

3 Municipal Supply Regulation 38 (1) prescribes that a supply chain management policy must provide measures for the combating of abuse of the supply chain management system, and must enable the accounting officer, among others, to:
   a. take all reasonable steps to prevent such abuse;
   b. reject the bid of any bidder if that bidder or any of its directors has abused the supply chain management system of the municipality or municipal entity or has committed any improper conduct in relation to such system; and
   c. cancel a contract awarded to a person if the person committed any corrupt or fraudulent act during the bidding process or the execution of the contract.

4 This MBD serves as a certificate of declaration that would be used by institutions to ensure that, when bids are considered, reasonable steps are taken to prevent any form of bid-rigging.

5 In order to give effect to the above, the attached Certificate of Bid Determination (MBD 9) must be completed and submitted with the bid:

¹ Includes price quotations, advertised competitive bids, limited bids and proposals.

² Bid rigging (or collusive bidding) occurs when businesses, that would otherwise be expected to compete, secretly conspire to raise prices or lower the quality of goods and / or services for purchasers who wish to acquire goods and / or services through a bidding process. Bid rigging is, therefore, an agreement between competitors not to compete.
CERTIFICATE OF INDEPENDENT BID DETERMINATION

I, the undersigned, in submitting the accompanying bid:

________________________________________________________________________

(Bid Number and Description)

in response to the invitation for the bid made by:

______________________________________________________________________________

(Name of Municipality / Municipal Entity)

do hereby make the following statements that I certify to be true and complete in every respect:

I certify, on behalf of:_______________________________________________________that:

(Name of Bidder)

1. I have read and I understand the contents of this Certificate;
2. I understand that the accompanying bid will be disqualified if this Certificate is found not to be true and complete in every respect;
3. I am authorized by the bidder to sign this Certificate, and to submit the accompanying bid, on behalf of the bidder;
4. Each person whose signature appears on the accompanying bid has been authorized by the bidder to determine the terms of, and to sign, the bid, on behalf of the bidder;
5. For the purposes of this Certificate and the accompanying bid, I understand that the word “competitor” shall include any individual or organization, other than the bidder, whether or not affiliated with the bidder, who:

   (a) has been requested to submit a bid in response to this bid invitation;
   (b) could potentially submit a bid in response to this bid invitation, based on their qualifications, abilities or experience; and
   (c) provides the same goods and services as the bidder and/or is in the same line of business as the bidder.
6. The bidder has arrived at the accompanying bid independently from, and without consultation, communication, agreement or arrangement with any competitor. However communication between partners in a joint venture or consortium\(^3\) will not be construed as collusive bidding.

7. In particular, without limiting the generality of paragraphs 6 above, there has been no consultation, communication, agreement or arrangement with any competitor regarding:

   (a) prices;
   (b) geographical area where product or service will be rendered (market allocation);
   (c) methods, factors or formulas used to calculate prices;
   (d) the intention or decision to submit or not to submit, a bid;
   (e) the submission of a bid which does not meet the specifications and conditions of the bid; or
   (f) bidding with the intention not to win the bid.

8. In addition, there have been no consultations, communications, agreements or arrangements with any competitor regarding the quality, quantity, specifications and conditions or delivery particulars of the products or services to which this bid invitation relates.

9. The terms of the accompanying bid have not been, and will not be, disclosed by the bidder, directly or indirectly, to any competitor, prior to the date and time of the official bid opening or of the awarding of the contract.

\(^3\) Joint venture or Consortium means an association of persons for the purpose of combining their expertise, property, capital, efforts, skill and knowledge in an activity for the execution of a contract.

10. I am aware that, in addition and without prejudice to any other remedy provided to combat any restrictive practices related to bids and contracts, bids that are suspicious will be reported to the Competition Commission for investigation and possible imposition of administrative penalties in terms of section 59 of the Competition Act No 89 of 1998 and or may be reported to the National Prosecuting Authority (NPA) for criminal investigation and or may be restricted from conducting business with the public sector for a period not exceeding ten (10) years in terms of the Prevention and Combating of Corrupt Activities Act No 12 of 2004 or any other applicable legislation.

......................................................... ..................................................
Signature Date

......................................................... ..................................................
Position Name of Bidder